

# FINRA RULE 1240 CONTINUING EDUCATION (CE)

Each year, IARs will need to attain 12 CE credits to maintain their IAR registration. The 12 credits must include six credits of Products and Practice and six credits of Ethics and Professional Responsibility.

## STEP 1

Go to the following website:  
<https://www.finra.org/>

## STEP 2

Click Login and select FINPRO  
Create an account if you don't have one

## STEP 3

Once you log in, on the left side click on My CE and review your IAR CE Status

## STEP 4

Choose your preferred approved IAR CE course provider  
<https://www.nasaa.org/industry-resources/approved-iar-ce-providers/>

## STEP 5

Complete your 12 hours before 12/26/2025  
DON'T WAIT UNTIL THE LAST MINUTE

## STEP 6

Wait 3 business days after completing your CE and check the status again at FINPRO. It should say Satisfied

## STEP 7

We recommend taking a screenshot of the page and send it to your CCO

## STEP 8

We are here to help.  
Contact us if you have any question or need more guidance

## FAILURE TO COMPLETE

Any covered person, who has not completed the Regulatory Element within the prescribed calendar year in which the Regulatory Element is due, will have his or her registration(s) deemed inactive until such time as he or she completes all required Regulatory Element.